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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/808,517
				Filing Date	March 14, 2001
				First Named Inventor	William M. Sudgen
				Group Art Unit	1648
				Examiner Name	U. Winkler
				Attorney Docket Number	960296.97982
Sheet	1	of	2		

[illegible][illegible]

Examiner Signature	<i>[Signature]</i>	Date Considered	7/14/03
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*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/808,517
				Filing Date	March 14, 2001
				First Named Inventor	William M. Sudgen
				Group Art Unit	1648
				Examiner Name	U. Winkler
				Attorney Docket Number	960296.97982
Sheet	2	of	2		

[illegible]

Examiner Signature	<i>Luke Winn</i>	Date Considered	9/14/03
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449
(Rev. 2-88)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.
960296.97982

APPLICATION NO.
09/808,517

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT
William M. Sugden, et al.

FILING DATE
March 14, 2001

GROUP
1633

~~AUG 13 2001~~

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

W		J.E. Mertz, "Linear DNA does not form Chromatin Containing Regularly Spaced Nucleosomes," Mol. and Cel. Biol., 2(12):1608-1618, 1982.
		S.A. Stanfield-Oakley et al., Nucleosomal Arrangement of HIV-1 DNA: Maps Generated from an Integrated Genome and an EBV-based Episomal Model, J. Mol. Biol., 256:503-516, 1996.
		Q. Gong et al., "Essential Role of NF-E2 in Remodeling of Chromatin Structure and Transcriptional Activation of the E-Globin Gene in Vivo by 5'Hypersensitive Site 2 of the B-Globin Locus Control Region," Mol. and Cel. Biol., 16(11): 6055-6064, 1996.
		J. Cote et al., "Perturbation of Nucleosome Core Structure by the SWI/SNF Complex Persists After its Detachment, Enhancing Subsequent Transcription Factor Binding," Proc. Natl. Acad., 95:4947-4952, 1998.
		G. Orphanides, et al., "FACT, a Factor that Facilitates Transcript Elongation Through Nucleosomes," Cell 92:105-116, 1998.

EXAMINER

DATE CONSIDERED

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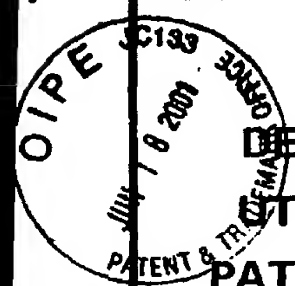
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PTO/SB/01 (6-95)

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0010/PTO
Rev. 6/95

U.S. Department of Commerce
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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number	960296.97982
First Named Inventor	William M. Sugden
COMPLETE IF KNOWN	
Application Number	09/808,517
Filing Date	March 14, 2001
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INHIBITION OF VIRAL GENE ACTIVITIES

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

March 14, 2001

as United States Application Number or PCT International

Application Number

09/808,517

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
n/a			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign applications numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
n/a		

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DECLARATION

Page 2

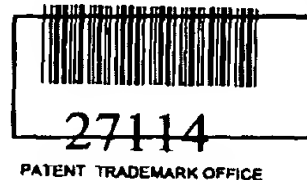
I hereby claim benefit under Title 35, United States Code §120 of any United States application(s), or §365(C) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT international application in the manner provided in the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
08/968,239		November 12, 1997	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and all continuation and divisional applications based thereon, and to transact all business in the Patent and Trademark Office connected therewith:

☒ Firm Name **Quarles & Brady LLP** Customer Number or label



☐ List attorney(s) and/or agent(s) name and registration number below

PATENT TRADEMARK OFFICE

Name	Registration Number	Name	Registration Number

☐ Additional attorney(s) and/or agents named on a supplemental priority sheet attached hereto

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City		State		Zip	
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of Sole or First Inventor: **William M. Sugden** A petition has been filed for this unsigned inventor

Given Name	William	Middle Initial	M.	Family Name	Sugden	Suffix e.g. Jr.	
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Inventor's Signature	<i>William M. Sugden</i>	Date	5/21/01
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Residence: City	Madison	State	WI	Country	USA	Citizenship	USA
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Post Office Address	2130 Fox Avenue
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Post Office Address	
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City	Madison	State	WI	Zip	53711	Country	USA	Applicant Authority	
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☒ Additional inventors are being named on supplemental sheet(s) attached hereto